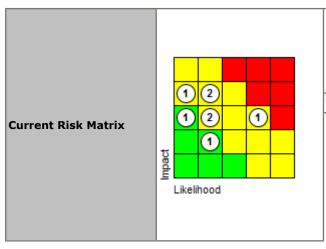
## STRATEGIC RISK REGISTER FEBRUARY 2017



Code & Title	SR_000 Strategic Risk Register
	1.109.010.



## LIKELIHOODIMPACT

- 1 Unlikely 1 Minor 2 Possible 2 Moderate 3 Likely 3 Significant 4 Highly Likely 4 Major 5 Almost Certain 5 Critical

The numbers relate to the amount of risks currently positioned in each box.

Code	Title	Description	Likelih ood	Impa ct	Origin al Risk Score	Internal Controls	Risk Owner	Likeli hood	Impa ct	Curre nt Risk Score	Traffic Light	Next Review Date
SR_00 1	No political and partnership continuity/conse nsus with regard to organisational objectives	Sudden changes of political objectives at either national or local level renders the organisation, its current corporate plan and Medium Term Financial Strategy unfit for purpose.	4	4	16	<ol> <li>Create inclusive governance structures which rely on sound evidence for decision making.</li> <li>Annual review of corporate plan and Medium Term Financial Strategy</li> <li>Creating an organisational architecture through the Joint Transformation Programme that can respond to changes in the environment.</li> </ol>	СМТ	2	3	6	Amber	02-May-2017
SR_00 2	Changes to the economic environment makes the Council economically less sustainable	<ol> <li>Economic development of the town suffers.</li> <li>Council objectives cannot be met.</li> </ol>	4	4	16	1. Robust Medium Term Financial Strategy reviewed annually and monitored quarterly. Refreshed in line with macro economic environment triennially.  2. Creating an organisational architecture through the Joint Transformation Programme that can respond to changes in the environment.	СМТ	4	3	12	Amber	02-May-2017
SR_00 3	Unforeseen socio-economic and/or demographic shifts creating	<ol> <li>Unsustainable demand on services.</li> <li>Service failure.</li> </ol>	2	4	8	1. Grounding significant corporate decisions based on up to date, robust, evidence base. (e.g. Census; Local Futures Toolkit/data	CMT	2	3	6	Amber	01-May-2017

Code	Title	Description	Likelih ood	Impa ct	Origin al Risk Score	Internal Controls	Risk Owner	Likeli hood	Impa ct		Traffic Light	Next Review Date
	significant changes of demands and expectations.	<ul><li>3. Council structure unsustainable and not fit for purpose.</li><li>4. Heightened likelihood of fraud.</li></ul>				modelling; East Sussex in Figures data modelling).  2. Ensuring community and interest group engagement in policy development (e.g. Neighbourhood Management Schemes; Corporate Consultation Programme)						
SR_00 4	unsustainable employment base for the	Employment market unable to fulfil recruitment and retention requirements of the Council resulting in a decline in performance standards and an increase in service costs.	4	4	16	<ol> <li>Joint Transformation programme to increase nonfinancial attractiveness of EBC to current and future staff.</li> <li>Appropriate reward and recognition policies reviewed on a regular basis.</li> <li>Review of organisation delivery models to better manage the blend of direct labour provision. Pursuit of mutually beneficial shared service arrangements.</li> </ol>	СМТ	2	2	4	Green	01-May-2017
SR_00 5	Not being able to sustain a culture that supports organisational objectives and future		4	4	16	Deliver a fit for purpose organisational culture through Joint Transformation programme.      Continue to develop our	СМТ	2	4	8	Amber	01-May-2017

Code	Title	Description	Likelih ood	Impa ct	Origin al Risk Score	Internal Controls	Risk Owner	Likeli hood	Impa ct		Traffic Light	Next Review Date
	development.	<ol> <li>Decline in morale.</li> <li>Increase in absenteeism.</li> <li>Service failure</li> <li>Increased possibility</li> </ol>				performance management capability to ensure early intervention where service and/or cultural issues arise.  3. Continue to develop communications through ongoing interactions with						
SR_00 6	Council prevented from delivering services for a prolonged period of time.	1. Denial of access to property 2. Denial of access to technology/information 3. Denial of access to people	3	5	15	1. Regularly reviewed and tested Business Continuity Plans.  2. Regularly reviewed and tested Disaster Recovery Plan.  3. Joint Transformation programme has created a more flexible, less locationally dependent service architecture.  4. Adoption of best practice IT and Asset Management policies and procedures.	СМТ	2	4	8	Amber	01-May-2017
SR_00 7		1. Service profile of the Council changes materially as a result of the impact of the event.	3	5	15	1. Ongoing and robust risk profiling of local area (demographic and geographic).	СМТ	1	3	3	Green	01-May-2017

Code	Title	Description	Likelih ood	Impa ct	Origin al Risk Score	Internal Controls	Risk Owner	Likeli hood	Impa ct		Traffic Light	Next Review Date
	an event under the Civil Contingencies Act	2. Cost profile of the Council changes materially as a result of the impact of the event.				<ol> <li>Review budget and reserves in light of risk profile.</li> <li>Working in partnership with other public bodies.</li> </ol>						
SR_00 8	Failure to meet regulatory or legal requirements	<ol> <li>Credibility of the Council is negatively impacted.</li> <li>Deterioration of financial position as a result of regulatory activity/penalties.</li> <li>Deterioration of service performance as a result of regulatory activity/penalties.</li> <li>Increased probability of prosecutions and compensation claims as a result of inadequate management of Health and Safety duties.</li> <li>Possibility of fraud and bribery.</li> </ol>	3	4	12	<ol> <li>Developing, maintaining and monitoring robust governance framework for the Council.</li> <li>Building relationships with regulatory bodies.</li> <li>Develop our Performance Management capability to ensure early intervention where service and/or cultural issues arise.</li> <li>Take forward the recommendations of the CIPFA Asset Management report to ensure we meet regulatory/legal requirements regarding the management of property.</li> <li>Ensure there is full understanding the impact of new legislation (e.g. Localism Act).</li> </ol>	I	1	4	4	Amber	01-May-2017

Code	Title	Description	Likelih ood	Origin al Risk Score	Internal Controls	Risk Owner	Likeli hood	Impa	Traffic Light	Next Review Date
		6. Ensure compliance with legislation such as Data Protection and Safeguarding.			6. All managers are required to abide by the Council's procurement rules.					
		7. Entering into contracts etc. without having adequate finance in place.			7. JTP Board considers activity mapping, ensuring that it covers regulatory/legal and main financial matters.					